petition office

(PATENT)

Docket No.: SON-417

JUL 17 2007 200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Taxes atent No. 5,453,758 of:

Kazuhiro SATO

Issued: September 26, 1995

Application No.: 08/098,896

Filed: July 29, 1993

For: INPUT APPARATUS

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JUL 2 4 2007

OFFICE OF PETITIONS

PETITION FOR RECONSIDERATION UNDER (37 C.F.R. §1.378(E)) OF THE DECISION ON PETITION OF MAY 17, 2007

MS Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This Petition is a full and timely response to the Decision on Petition mailed on May 17, 2007 dismissing the Petition To Accept Unavoidably Delayed Payment Of Maintenance Fee In An Expired Patent (37 C.F.R. §1.378(b)) filed on June 30, 2006. Reexamination and reconsideration in light of the following remarks are courteously requested.

Any such petition for reconsideration must be accompanied by the petition fee required by 37 C.F.R. §1.17(f). Accordingly, the petition fee being submitted is \$400.00.

ARGUMENT

The Decision on Petition (the Decision) highlights that:

A petition to accept the delayed payment of a maintenance fee under 35 USC 41(c) and 37 CFR 1.378(b) must be accompanied by

- (1) An adequate showing that the delay was unavoidable, since reasonable care was taken to insure that the maintenance fee would be paid timely,
- (2) Payment of the appropriate maintenance fee, unless previously submitted, and
- (3) Payment of the surcharge set forth in 37 CFR 1.20(i)(1).

In its conclusion of an absence of item (1) above from within the Petition of June 30, 2006, the Decision explained that:

A delay resulting from an error (e.g., a docketing error) on the part of an employee in the performance of a clerical function may provide the basis for a showing of "unavoidable" delay, provided it is shown that:

- (1) The error was the cause of the delay at issue;
- (2) There was in place a business routine for performing the clerical function that could reasonably be relied upon to avoid errors in its performance; and
- (3) The employee was sufficiently trained and experienced with regard to the function and routine for its performance that reliance upon such employee represented the exercise of due care. See MPEP 711.03(c)(III)(C)(2).

In response, the arguments and evidence presented within the Petition of June 30, 2006 are incorporated by reference. Additional arguments are provided hereinbelow.

"If unexpectedly, or through the unforeseen fault or imperfection of these agencies and instrumentalities, there occurs a failure, *it may properly be said to be unavoidable*, all other conditions of promptness in its rectification being present" (emphasis added). *In re Egbers*, 6 USPQ2d 1869, 1871 (Comm'r Pat. 1988).

Section 2590 of the M.P.E.P. provides that an error in a docketing system could possibly result in a finding that a delay in payment was unavoidable if it were shown that reasonable care was exercised in designing and operating the system and that the patentee took reasonable steps to ensure that the patent was entered into the system to ensure timely payment of the maintenance fees.

(1) The error was the cause of the delay at issue.

The letter from Sanae Takada of Sony Intellectual Property Solutions Corporation dated June 26, 2006, which has been provided as ATTACHMENT G of the Petition of June 30, 2006, refers to an error in the docketing system as being the cause of the delay in providing the 7 ½ year maintenance fee payment to the U.S. Patent and Trademark Office.

The Decision is required to set forth specific findings of fact and conclusions of law adequate to form a basis for appellate review. *Gechter v. Davidson*, 43 USPQ2d 1030, 1035 (Fed. Cir. 1997).

Here, the Decision has concluded the letter from Ms. Takada states that there was no written procedure for managing the docketing of <u>applications having a related reissue case</u> at the time this error occurred and the personnel responsible for the error was assumed to be an inexperience clerk. As a result, the Decision reasoned that one cannot deem that the cause of the delay was unavoidable since there is no showing that applicant was careful or prudent in his most important business in relying on Sony's system and personnel within the meaning of *In re Katrapat* (Decision at page 2).

In response to this line of reasoning, <u>no statement</u> can be found within the letter of June 26, 2006 from Ms. Takada regarding the absence of a <u>written procedure for managing the docketing</u> <u>of applications having a related reissue case</u> at the time this error occurred, as the Decision urges.

Instead, it is respectfully submitted that of the actual language found within the letter of June 26, 2006 from Ms. Takada refers to a "written manual for <u>managing reissue cases</u>." Specifically, the letter of June 26, 2006 states that "there was not a written manual for <u>managing reissue cases</u> in our section at that time."

Furthermore, U.S. Patent and Trademark Office (USPTO) practice and procedures provide that the filing of a reissue application does not alter the schedule of payments of maintenance fees on *the original patent*. M.P.E.P. §1415.01.

Found within the reissue application, U.S. Application No. 08/736,288 is an Order to Show Cause dated May 31, 2006. However, there is no indication within that Order of the reissue application maturing into a reissue patent. Yet, the Decision on Petition refers <u>solely</u> to the reissue <u>application</u> and <u>not</u> to U.S. Patent No. 5,453,758, <u>the underlying original patent</u> in its reasoning for dismissing the Petition of June 30, 2006.

As a consequence, <u>no explanation or discussion</u> can be found within the Decision regarding an absence of procedures associated with the payment of maintenance fees in <u>the original</u> <u>patent</u>.

Even still, the presence or absence of a written manual for managing reissue cases is not dispositive of the issues regarding an error in the Sony docketing system as being the cause of the delay in providing the 7 ½ year maintenance fee payment so long as there was in place a business routine for performing the clerical function that could reasonably be relied upon to avoid errors in its performance. M.P.E.P. §711.03(c)(III)(C)(2).

In this instance, there was in place a business routine for performing the clerical function that could reasonably be relied upon to avoid errors in its performance. However, an erroneous data

entry found within the Sony docketing system was the cause of the delay in providing the 7 ½ year maintenance fee payment to the USPTO.

(2) There was in place a business routine for performing the clerical function that could reasonably be relied upon to avoid errors in its performance.

The Decision explained that Petitioner must supply a thorough explanation of the docketing (at Sony) and call-up system in use.

The Decision instructs that Petitioner must supply a thorough explanation of the docketing (at Sony) and call-up system in use and must identify the type of records kept and the person responsible for the maintenance of the system.

The Decision further instructs that Petitioner must identify the type of records kept and the person responsible for the maintenance of the system.

This showing must include copies of mail ledger, docket sheets, filewrappers and such other records as may exist which would substantiate an error in docketing, and include an indication as to why the system failed in this instance to provide adequate notice that a reply was due.

In response, a copy of the Sony docketing system record with notations for the convenience of the USPTO is provided along with this Renewed Petition as ATTACHMENT A.

This Sony docketing system record provides evidence of a tool within Sony used in the docket management of an application having a related reissue case.

Specifically, the Sony Reference No. for the proceedings associated with the original patent is S93P0482US00 for internal tracking purposes, which is shown on the bottom of page 1 of the Sony docketing system record. Continuing on page 2, shown is "1993-07-28", which is the date that an "application filed" entry (status code "01") was input to a database field for the original

application, which coincides with the filing date of July 29, 1993 for U.S. Patent Application No. 08/098,896 (the original application).

The original application matured into the original patent on September 26, 1995. A database field on the Sony docketing system record for the original application includes an entry of "1995-09-26", which is the issue date of the original patent.

A reissue application based upon the original patent was filed on October 24, 1996 as U.S. Patent Application Serial No. 08/736,288. A letter of February 28, 1997 from the Marks & Murase law firm forwarded to Sony a copy of the Filing Receipt for the reissue application.

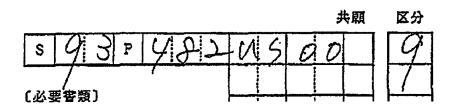
A copy of the letter of February 28, 1997 from the Marks & Murase law firm is provided along with this Renewed Petition as ATTACHMENT B.

The letter and official filing receipt of the reissue patent application was received by Sony in their Tokyo, Japan office on March 11, 1997 and was forwarded to their Atsugi, Japan office where it was received on March 14, 1997. The letter and official filing receipt were then forwarded to Tokyo office

A "Request for Final Disposition" of Sony Reference No. S93P0482US00 (the original patent) was completed by Ms. Nao Miyamoto on May 6, 1998.

A copy of the Request for Final Disposition is provided along with this Renewed Petition as ATTACHMENT C.

Shown below is a portion of the Request for Final Disposition that indicates Sony Reference No. S93P0482US00.

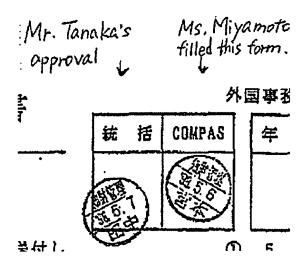


Status code 9 of the adjacent field refers to the list of status codes presented on the Request.

Shown below is a portion of the Request for Final Disposition that includes status code 9, along with an English translation for the convenience of the USPTO.

4	不服審判不成立後放棄/被異議成立	.	•
8	出頭取下(1~6 以外で放棄) (Rg-Isaus の総出額)	『放棄指示』 『Re-lssue指示』	景鉄延受理炎に処理 *午会担当者に要相談
10	出願放棄(派些出願の親出願)	□総総出頭の指示	原番頭加受理後に処理
<code></code>	<code detail=""> abandoned by withdrawn/dismissed (abandoned by other than status code 1-6)</code>	<pre><patent action="" engineer's=""> instruct attorney to abando</patent></pre>	<administrator's action=""> input after Letters Patent received</administrator's>
	(Parent of re-issue patent application)	instruct attorney to file a reissue patent application	* consult annuity person

Shown below is a portion of the Request for Final Disposition that includes the identification stamps for Ms. Miyamoto and Mr. Keisuke Tanaka.



The stamp for Ms. Miyamoto indicates that she prepared the Request for Final Disposition on May 6, 1998. Although proceedings in the reissue application were ongoing in May of 1998, status code 9 was erroneously selected by Ms. Miyamoto in the Request prior to the conclusion of the proceedings in the reissue application.

Routine practice and procedures within Sony at the time of the error arose provide for the designation of status code 9 in the database of the original patent once the original patent reissues. Further shown is the stamp for Mr. Tanaka indicating his approval of the completed form on May 7, 1998, notwithstanding an instruction found within status code 9 to process after receiving the Letters Patent of the reissue. Mr. Tanaka failed to notice the erroneous selection of status code 9 within the Request. Mr. Tanaka, who was the manager of the foreign patent administration group at the time of the error, has since left Sony in 2005.

Furthermore, the Request for Final Disposition requires a confirmation of the person assigned to annuity matters. Although Ms. Masako Motosugi was assigned to annuity matters during the time that the error arose, there is no indication of the Request being forwarded to Ms. Motosugi for her review and confirmation. Ms. Motosugi left Sony in 2006.

The "COMPAS" docketing system was in use at Sony as of May of 1998. Other status codes associated with the "COMPAS" docketing system found on Request for Final Disposition are as follows:

Status code 1: abandoned by not requesting for an examination;

Status code 2: abandoned by not filing any response to Office Action;

Status code 3: abandoned by not filing any response to Final Office Action;

Status code 4: abandoned after receiving the Decision on Appeal;

Status code 5: abandoned by not paying annuity fee (pending case);

Status code 6: abandoned by not paying annuity fee (issued case).

The "COMPAS" docketing system in use as of May of 1998 was succeeded by the new docketing system "New-COMPAS". Data from "COMPAS" was transferred to "New-COMPAS" is July of 1998.

The status codes associated with the "New-COMPAS" docketing system replacing those found within the "COMPAS" docketing system are as follows:

Status code 1 of COMPAS is now Status code 12 of New-COMPAS;

Status code 2 of COMPAS is now Status code 05 of New-COMPAS;

Status code 3 of COMPAS is now Status code 05 of New-COMPAS;

Status code 4 of COMPAS is now Status code 26 of New-COMPAS;

Status code 5 of COMPAS is now Status code 18 of New-COMPAS;

Status code 6 of COMPAS is now Status code 18 of New-COMPAS;

Status code 9 of COMPAS is now Status code 07 of New-COMPAS.

The filing information of the reissue patent application was input by Ms. Miyamoto on May 6, 1998. On the same day, Ms. Miyamoto filled in the Request for Final Disposition of the original patent. The letter of June 26, 2006 from Ms. Takada explains the Sony docketing system record shows that an "application withdrawn/dismissed" entry (New-COMPAS status code "07") was input to the database for the original patent on May 6, 1998 on the same day that an "application filed" entry (New-COMPAS status code "01") was input to the reissue application.

As evidence, the Sony Reference No. for the proceedings associated with the reissue application of S93P0482US01 and the date of "1998-05-06" are shown on the bottom portion of page 2 of the Sony docketing system record. Page 2 of the Sony docketing system record shows that on "1998-05-06", an "application filed" entry (New-COMPAS status code "01") was input to database fields for the reissue application and an "application withdrawn/dismissed" entry (New-COMPAS status code "07") was input" to another database field for the original patent.

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As indicated hereinabove, a copy of the Sony docketing system record, with notations for the convenience of the USPTO, is provided along with this Renewed Petition as ATTACHMENT A.

During December of 2002, Ms. Takada prepared a listing of patents to transfer responsibility for the management of the maintenance fees from Rader, Fishman and Grauer (the Firm) to Computer Packages Inc. (CPI). As noted hereinabove, an "application withdrawn/dismissed" entry (New-COMPAS status code "07") was input" to the database field for the original patent. As a result, the original patent was not catalogued in the list. Patents having an "application withdrawn/dismissed" entry (New-COMPAS status code "07") are routinely omitted from the list. As a result, the original patent was omitted from the list prior to the forwarding of the list to CPI.

An e-mail dated January 10, 2003 from Ms. Takada to Paula L. Talarek at the Firm indicates that responsibility for the applications identified on Sheet A of the attached document "DC107977 030109.XLS" will be transferred from the Firm to CPI.

A copy of the e-mail dated January 10, 2003 in its redacted form is has been provided previously as ATTACHMENT C of the Petition of June 30, 2006.

Document "DC107977 030109.XLS" attached to the e-mail dated January 10, 2003 lists as record 914 the reissue <u>application</u> (U.S. Patent Application Serial No. 08/736,288), which has yet to reissue. However, <u>no listing of the original patent</u> (U.S. Patent No. 5,453,758) is found within document "DC107977 030109.XLS.

A copy of document "DC107977 030109.XLS in its redacted form has been provided previously as ATTACHMENT D of the Petition of June 30, 2006.

As a routine business practice, Sony requests a quarterly Invoice from CPI as digital data and as a paper report. This Invoice includes a maintenance fee schedule listing the maintenance fee payments due in applications assigned to Sony during a specific period of time. The Sony reference number is the report index.

A copy of the quarterly Invoice from CPI dated January 17, 2003 for the First quarter, 2003 is provided along with this Renewed Petition as ATTACHMENT D.

In January of 2003, Ms. Takada compared relevant data retrieved from within the database of the COMPAS docketing system for issued patents having a status of "not abandoned, not expired" with the quarterly Invoice received from CPI. These relevant data from within the database of the COMPAS docketing system included information pertaining to the 3 ½, 7 ½, and 11 ½ year maintenance fees due without surcharge between the time period of January 1, 2003 and March 31, 2003 in the patents issued to Sony. Regarding payment of the 7 ½ year maintenance fee due in U.S. Patent No. 5,453,758, the website for the USPTO identifies a Surcharge Date of March 27, 2003, which is within the time period between January 1, 2003 and March 31, 2003. U.S. Patent No. 5,453,758 is also absent from within the quarterly Invoice from CPI of January 17, 2003.

But as previously noted, the letter of June 26, 2006 from Ms. Takada explains the Sony docketing system record shows that an "application withdrawn/dismissed" entry (New-COMPAS status code "07") was erroneously input to the database for the original patent on May 6, 1998. As a result of this error, Ms. Takada was unaware during her data comparison in January of 2003 of an existence of the 7 ½ year maintenance fee payment due in U.S. Patent No. 5,453,758.

(3) The employee was sufficiently trained and experienced with regard to the function and routine for its performance that reliance upon such employee represented the exercise of due care.

The Decision further explained that Petitioner must also supply information regarding the training provided to the Sony personnel responsible for the docketing error, degree of supervision of their work, examples of other work functions carried out, and checks on the described work which were used to assure proper execution of assigned tasks.

Ms. Nao Miyamoto joined Sony in foreign patent administration group of at the Tokyo, Japan office in September 1996. Within two months of her arrival at Sony in 1996, Ms. Miyamoto administered Sony applications and patent that were prosecuted in patent offices located in countries other than Japan.

Ms. Miyamoto received the training from two experienced workers, Ms. Motoko Kikuchi and Ms. Miki Tanae. This training pertained to subjects such as document categorization. These categories includes but are not limited to 1) Documents requiring a reply by a due date; 2) Documents requiring action by a patent engineer at Sony; and 3) Documents which require neither a reply by a due date nor action by a patent engineer. This training additionally included instruction regarding how to input information to the Sony docketing system and instruction regarding how to prioritize tasks.

As manager of the foreign patent administration group, Mr. Keisuke Tanaka reviewed the work of Ms. Miyamoto in 1998. One of his duties was to assure proper execution of tasks assigned to Ms. Miyamoto.

IN RE KATRAPAT

The Decision refers to *In re Katrapat, AG*, 6 USPQ2d 1863 (Comm'r Pat. 1988). Please note, however, that this situation is analogous to that provided within *In re Katrapat*.

As a rule, if unexpectedly, or through the unforeseen fault or imperfection of these agencies and instrumentalities, there occurs a failure, it may properly be said to be unavoidable, all other conditions of promptness in its rectification being present. *In re Katrapat*, at 1866.

The Petition of June 30, 2006 and the present Renewed Petition identify the use of a computerized docketing system to insure that responses are timely filed.

Likewise, Katrapat's attorneys used a computerized docketing system to insure that responses are timely filed (*Katrapat* at 1867).

As noted hereinabove, the Sony docketing system record shows that an "application withdrawn/dismissed" entry (New-COMPAS status code "07") was erroneously input to the database for the original patent on May 6, 1998.

Likewise, the records clerk of *Katrapat* entered the data from the hardcopy log into the computer once a month, and the computer generated dockets for individual patent attorneys (*id.*).

Document "DC107977 030109.XLS" attached to the e-mail dated January 10, 2003 lists as record 914 the reissue <u>application</u> (U.S. Patent Application Serial No. 08/736,288), which has yet to reissue. However, <u>no listing of the original patent</u> (U.S. Patent No. 5,453,758) is found within document "DC107977 030109.XLS. Relevant data retrieved from within the database of the COMPAS docketing system for issued patents having a status of "not abandoned, not expired" was compared with the quarterly Invoice received from CPI. Because of the erroneous input, Ms. Takada was unaware during her data comparison in January of 2003 of an existence of the 7 ½ year maintenance fee payment due in U.S. Patent No. 5,453,758.

Within *Katrapat*, counsel did not prepare a timely response to an Office action that was never entered into the docketing system. (*id.*). But despite the presence of multiple errors made in providing a timely response (*Katrapat*, at 1867-68), the Commissioner nevertheless granted Katrapat's petition to revive the reexamination proceedings under the "unavoidability" standard. (*Katrapat*, at 1868).

11 ½ YEAR MAINTENANCE FEE

A Notice of Non-Acceptance of Patent Maintenance Fee was mailed on March 20, 2007 in U.S. Patent No. 5,453,758.

The Notice indicates a payment receipt date of March 16, 2007 in the amount of \$3,800.00 as payment for the 11 ½ year maintenance fee payment due. However, the Notice further indicates non-acceptance of the 11 ½ year maintenance fee payment due pending the outcome of the instant Petition.

A copy of the Notice of Non-Acceptance of Patent Maintenance Fee is provided along with this Renewed Petition as ATTACHMENT E.

RELIEF

Applicant hereby petitions the Commissioner under 37 C.F.R. §1.378(e) to issue a decision granting the Petition To Accept Unavoidably Delayed Payment Of Maintenance Fee In An Expired Patent (37 C.F.R. §1.378(b)) filed on June 30, 2006.

Applicant further requests acceptance of the 11 ½ year maintenance fee.

The Director is hereby authorized to charge any maintenance fee, surcharge or petition fee deficiency to Deposit Account # 18-0013.

Dated: July 17, 2007

Respectfully submitted,

Ronald P. Kananen

Registration No.: 24,104

Brian K. Dutton

Registration No.: 47,255

RADER, FISHMAN & GRAUER PLLC Correspondence Customer Number: 23353

(202) 955-3750

Attorney for Applicant

Application No. 08/098,896 Patent No. 5,453,758

Docket No.: SON-417

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AUTHORIZATION-DUPLICATE COPY

JUL 2 4 2007

MANNER OF PAYMENT

OFFICE OF PETITIONS

The Director is hereby authorized to charge Deposit Account # 18-0013 the sum of \$400.00.

AUTHORIZATION-DUPLICATE COPY

AUTHORIZATION TO CHARGE ANY FEE DEFICIENCY

The Director is hereby authorized to charge any maintenance fee, surcharge or petition fee deficiency to Deposit Account # 18-0013.

AUTHORIZATION-DUPLICATE COPY

OVERPAYMENT

As to any overpayment made, please credit to Deposit Account # 18-0013.

ATTACHMENT A

Docket No.: SON-417

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受付番号
出願番号
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  発明の名称
F英文タイトル
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 四法
 公告日
: NHEC
                                                                          : JREGCCB, JRESABA, KCTVcxxx, KAU1xxcx, KCOMxxcx
 下出願処分入力日
下権利処分入力日
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出願番号
                                               593704824500
                   :098896
発明の名称
F英文タイトル
出願種別
                   :入力装置
:POINTING DEVICE
                   :A 通常
                   :1993-07-29
出願日
                   :1993-07-29
:2013-07-29
:1995-09-26
:5453758
法的出願日
権利満了日
登録日
登録番号
F 登録 F 初期入力 F
                   :1995-10-25
                                      Status Code 01: application filed
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                   :01 出願
:07 取下/却下
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                   : 1993-07-28
処分決定日
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                   :SON-417
                   :XX00
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費用配分
年金移
                   :000218
                   :100/100
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文書箱番号
包袋コード
  タコート
予担当者 G P (現)
不担当者 C (現)
                   GX
                   :960107
オリジナル区分
発明者氏名
優先権出願番号
                   :佐藤 一博
                   :92035268
                   :93012038
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                   :1998=05-06
                  :593P0482US01
受付番号
出願番号
                                     Reissue Patent Application
                   :736288
発明の名称
F英文タイトル
出題種別
                   :入力装置
:POINTING DEVICE
                   :3 再発行
出願日
                   : 1996-10-24
法的出願日
                   :1993-07-29
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下登録日初期入力日
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:1998-05-06
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                                      Status code of application filed.
〜 1998-05-06
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状況コード :GO 世屋
                                      the Date when the decision Date was input.
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                   :SON-906/REISSUE
                   :XX00
出願人数
権利者及
出願人C
                   :000218
費用配分
                   :100/100
年金移管先
                   :23US
文都箱番号
包袋コード
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技術担当者 G P (現)
技術担当者 C (現)
オリジナル区分
発明者に発展し
                   :GX
                   :960107
                   :佐藤 一博
優先権出願番号
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ATTACHMENT B

Docket No.: SON-417

399 PARK AVENUE New York, New York 10022-4689 (212) 318-7700

MARKS & MURASE

L.L.P.

ATTORNEYS AT LAW
SUITE 750

2001 L STREET, N.W.

WASHINGTON, D.C. 20036-4910

(202) 955-4900 Telex 248749 Fax (202) 955-4933 Fax (202) 955-4932

February 28, 1997



333 SOUTH GRAND AVE

Los Angeles, California 90071

(213) 620-9690

IN PUT

Mr. Toshiya Ogura General Manager Intellectual Property Department Sony Corporation Tokyo International P.O. Box 5100 Tokyo 100-31, JAPAN

Re: U.S. Reissue Patent Application of

Kazuhiro Sato

(Sony Corporation)

Serial No. 08/736,288

(Reissue of U.S. Patent No. 5,453,758)

For: "Input Apparatus"

Your Reference No. S93P482US01

Our Reference No. SON-906/Reissue

Dear Mr. Ogura:

We have enclosed a copy of the Official Filing Receipt which we received from the Patent and Trademark Office in connection with the above-identified application. The receipt confirms that the application was filed on October 24, 1996 and formally assigns the case Serial No. 08/736,288. The application has been assigned to Group No. 2609 for examination.

We shall keep you advised of developments in this case

as they occur.

LOIX

v trul

Ronald P. Kananen

RECEIVED

MAR 14 1997.

ATSUGI

RPK/lnm Enclosure



136635 001 55927 1

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER	R FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/736,288	10/24/96	2609	\$930.00	SON-906/REIS	15	17	3

RONALD P KANANEN
MARKS & MURASE
SUITE 750
2001 L STREET NW
WASHINGTON DC 20036

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

KAZUHIRO SATO, TOKYO, JAPAN.

CONTINUING DATA AS CLAIMED BY APPLICANT- 950926THIS APPLN IS A RE OF 08/098,896 07/29/93 PAT 5,453,758

FOREIGN/PCT APPLICATIONS-JAPAN
JAPAN

4-223569 5-141248 07/31/9: 05/21/9

TITLE INPUT APPARATUS

PRELIMINARY CLASS: 345

ATTACHMENT C

Docket No.: SON-417

技術グループ

最終処分依頼書

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外国事務グループ 統 括 COMPAS

年	金	経	理

必ず、代理人または事務所に、案件を放棄する旨の依頼書を送付し その控えをfileして下さい。

1 5, 6 番放棄 **8** EPを含むもの 海一案件

のみ回覧 9 番放棄は要相談 4

- ・Family単位で一枚
- ・依頼書送付済・未のチュック
- ・共願の場合、「共願」の 欄に「J」を記入。
 - ・最終処分区分1-6, 9, 11 で場合で、維持する国が あれば理由を記載。 Û

		共願	区分	依頼書
5 9 3 P 4 D J	1451	90	9	游/朱
[必要書類]				资/未
・ファイル ・カ変等の手統き依頼者				资/未
・外国特許出願放棄連絡書 (02依頼書メニューの22)	-			游/未
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メニューの05)				済/未

	最終処分理由:	維持 選由:
•		

	极 終 処 分 区 分	技術グループ	外国事務グループ
11	出願中止 (出願前)	『変更・中止願』の	かみ提出。 当依頼者は不要。
1 1	・WC, BP放棄による指定国の処分 ・WC, BP各国移行段階の指定国の取下げ	「放棄指示」	
1 2 3 4	審査請求放棄 拒絶理由通知時放棄 (WO, BP のSearch Reportを含む) 拒絶査定後放棄 不服審判不成立後放棄/被異議成立	「放棄指示」	·
. 8	出願取下(1~6 以外で放棄) (Re-Issue の親出願)	『放棄指示』 『Re-Issue指示』	登録証受理後に処理 *年金担当者に要相談
1 0	出願放棄(派生出願の親出願)	□継続出願の指示 □変更出願の指示 □P→U □U→P	願番通知受理後に処理 (USの場合は外国事務にて 判断し当依頼書記載)
8	権利譲渡	『譲渡手続き』	
5 6 7	出願年金不払い放棄 登録年金不払い放棄 権利満了		不払い指示→仲介/現地代理人 不払い指示→現地代理人
1 4	最終処分の取消(最終処分後の入力等)		

(96.9.改定)

ATTACHMENT D

DC281709.DOC

D



LEADERSHIP FOR THE BEST IDEAS IN THE WORLD

January 17, 2003

MR. REIKICHI FUJII, SENIOR GENERAL MANAGER INTELLECTUAL PROPERTY DIVISION (NT) SONY CORPORATION 6-7-35 KITASHINAGAWA SHINAGAWA-KU TOKYO, 141-0001 JAPAN



SUPPLEMENTAL Invoice #617315

ANNUITY PAYMENTS:	
1st Quarter, 2003	79,112.00 US
CASES DEDUCTED:	
Number of Cases deducted and amount	
TOTAL	

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LISA YOUNG

COMPUTER PACKAGES INC.

414 HUNGERFORD DRIVE

ROCKVILLE, MARYLAND 20850 USA

TELEPHONE: (301) 424~8890

TELEFAX: (301) 762-8663

MR. KEISUKE TAMAKA (NT)

GENERAL MANAGER, INTELLECTUAL PROPERTY D

SONY CORPORATION

6-7-35, KITASHINAGAHA

SHINAGANA-KU

TOKYO, 141-0001 JAPAN

COMPUTER PACKAGES INC. 414 HUNGERFORD DR. SUITE 300 ROCKVILLE, MD 20850 U.S.A.

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NOTE: ANDUNTS SHOWN ARE IN U.S. DOLLARS. INCLUDING C.P.I. FEE

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CLIENT/BIV TOTAL

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CLIENT/DIV TOTAL GRAND TOTAL

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PLEASE SEND PAYMENT TO :

LISA YOUNG

COMPUTER PACKAGES INC.

414 HUNGERFORD DRIVE

ROCKVILLE, MARYLAND 20850 USA

TELEPHONE: (301) 424-8890

TELEFAT: (301) 762-8663

(HT) MR. KEISUKE TANAKA GENERAL MANAGER, INTELLECTUAL PROPERTY D

SONY CORPORATION

6-7-35, KITASHINAGAWA

SHINAGAMA-KU

TOKYO, 141-0001 JAPAN

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COMPUTER PACKAGES INC. 414 HUNGERFORD DR. SUITE 300 ROCKVILLE, MD. 20850 U.S.A.

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ATTACHMENT E

Docket No.: SON-417





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March 20, 2007

NOTICE OF NON-ACCEPTANCE OF PATENT MAINTENANCE FEE

	D. I.D. (2017)
REGARDING PATENT NUMBER: 5453758 Payment Amount Received: \$ 3,800.00	PAYMENT STATUS:
Payment Year 11.5 (e.g., 3.5, 7.5, 11.5)	No charge was applied to your deposit account.
PAYMENT RECEIPT DATE 03/16/2007 (Mailroom Date Stamp)	RECEIVED
Your payment was not accepted for the following reason(s	JUL 2 4 2007
☐ 1. The maintenance fee for the above-identified patent was previous Information regarding the previous payment is stated in item 8	
2. The patent expired on See "Patent Expiration" see	ction below.
3. The fee was paid too early. See 37 CFR 1.366(b). Pursuant to for the above-identified patent on	37 CFR 1.362(d), the payment window will open
4. Your payment was not sufficient to cover the maintenance fee patent. An additional amount of \$ is/was required.	•
5. The above-identified patent was reissued. In accordance with application number must be provided.	37 CFR 1.366(d), the reissue patent number and reissue
6. The payment did not include corresponding patent and applica	tion numbers, and was not specially accepted.
7. The above-identified patent is not subject to maintenance fees. before 12/11/80. No maintenance fees are due on design pater (The "Resubmitting Maintenance Fee Payment" and "Patent E	No maintenance fees are due on utility patents filed nts or on plant patents. See 37 CFR 1.362(a) and (b). Expiration" sections below do not apply.)
8. Other: PETITION FILED ON 06/30/2006 NO DECISION P RESUBMITTING PAYMENT.	LEASE WAIT ON DECISION BEFORE
Resubmitting Maintenance Fee Payment	
The six (6) month "grace period" for paying the maintenance fee for addition to the maintenance fee of \$, a resubmitted pa "grace period" is required to include a surcharge of \$ (So	yment filed in the USPTO during the 6 month
To avoid patent expiration, the maintenance fee plus any required sur all of the indicated reason(s) for payment non-acceptance and must b period" ends. Send by facsimile to the Office of Finance, Maintenant following address: Mail Stop M Correspondence, Director of the 1450, to the attention of the individual who has signed below.	ne filed on or before the date the 6 month "grace name Fee Branch at (571) 273-6500, or by mail to the
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The date the six (6) month "grace period" ends becomes the expiration amount for paying the maintenance fee is not filed in the USPTO by the reinstated if a petition as set forth in 37 CFR 1.378 is granted. If the number 5 or 6 above is the only reason indicated for payment non-accepted if resubmitted with a petition as set forth in 37 CFR 1.377, in the submitted with a petition as set forth in 37 CFR 1.377, in the submitted with a petition as set forth in 37 CFR 1.377, in the submitted with a petition as set forth in 37 CFR 1.377, in the submitted with a petition as set forth in 37 CFR 1.377, in the submitted with a petition as set forth in 37 CFR 1.377, in the submitted with a petition as set forth in 37 CFR 1.377, in the submitted with a petition as set forth in 37 CFR 1.378 is granted.	that date. See 37 CFR 1.362(g). Expired patents may the above-identified patent has expired and reason ceptance, it is possible that the payment may be
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Glenda Hinton (571) 272-6387 Printed Name of USPTO Representative	Slenda Hinton Signature of USETO Representative
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